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☐ 1. Document ID: US 20050015662 A1

Using default format because multiple data bases are involved.

L3: Entry 1 of 2

File: PGPB

Jan 20, 2005

PGPUB-DOCUMENT-NUMBER: 20050015662

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050015662 A1

TITLE: Method for visualizing data backup activity from a plurality of backup devices

PUBLICATION-DATE: January 20, 2005

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY
Scanlan, Liam	Bellevue	WA	US

US-CL-CURRENT: 714/13

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWOC	Draw Ds
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☒ 2. Document ID: US 6745210 B1

L3: Entry 2 of 2

File: USPT

Jun 1, 2004

US-PAT-NO: 6745210

DOCUMENT-IDENTIFIER: US 6745210 B1

TITLE: Method for visualizing data backup activity from a plurality of backup devices

DATE-ISSUED: June 1, 2004

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Scanlan, Liam	Bellevue	WA		
Bear, Cory	Bellevue	WA		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Bocada, Inc.	Bellevue	WA			02

APPL-NO: 09/665269 [PALM]
DATE FILED: September 19, 2000

INT-CL: [07] G06 F 17/30

US-CL-ISSUED: 707/204; 707/3, 707/10, 707/101, 707/102, 707/104, 707/202
US-CL-CURRENT: 707/204; 707/10, 707/101, 707/102, 707/104.1, 707/202, 707/3

FIELD-OF-SEARCH: 707/10, 707/3, 707/202, 707/203, 707/204, 707/205, 707/101,
707/102, 707/104, 709/217, 709/222, 709/228, 705/20, 705/34, 705/40, 705/44

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>5517555</u>	May 1996	Amadon et al.	379/114.01
<u>5729735</u>	March 1998	Meyering	395/610
<u>5754782</u>	May 1998	Masada	395/200.43
<u>5758359</u>	May 1998	Saxon	707/204
<u>5796999</u>	August 1998	Azagury et al.	395/600
<u>5813017</u>	September 1998	Morris	707/204
<u>5857208</u>	January 1999	Ofek	707/204
<u>5890165</u>	March 1999	Boudrie et al.	707/202
<u>5958012</u>	September 1999	Battat et al.	345/969
<u>6006227</u>	December 1999	Freeman et al.	707/102
<u>6026414</u>	February 2000	Anglin	707/204
<u>6035412</u>	March 2000	Tamer et al.	714/6
<u>6038569</u>	March 2000	Beavin et al.	707/203
<u>6289380</u>	September 2001	Battat et al.	345/969
<u>2002/0165961</u>	November 2002	Everdell et al.	709/225

OTHER PUBLICATIONS

International Search Report for International Patent Application No. PCT/US01/29435
filed Sep. 19, 2001.
International Search Report for International Patent Application No. PCT/US01/29434
filed Sep. 19, 2001.
International Search Report for International Patent Application No. PCT/US01/29521
filed Sep. 19, 2001.

ART-UNIT: 2172

PRIMARY-EXAMINER: Corrielus; Jean M.

ATTY-AGENT-FIRM: Gray Cary Ware & Freidenrich LLP

ABSTRACT:

A method and system for examining historical records of backup activity

consolidated from a plurality of backup engines, utilizing a data processing system, is disclosed. In the first aspect, a method comprises the steps of reorganizing historical records of backup activity originating from a plurality of backup engines into unique visual representations to facilitate the speedy and reliable identification of backup activity failures. The method also includes sending prepared requests from BRG to RDB, and then receiving data from RDB. In another aspect, it is disclosed a method of representing a uniquely high number of levels of data extraction relating to backup successes and failures. In another aspect, it is disclosed a method of representing variations other than simply Failure or Success of backup activities, namely Partial failures. In another aspect, it is disclosed a method of combining summary level data (summarized by backup server) with subsequently lower levels of detail (summarized by backup client, and summarized by backup target) in a single report that provides the ability to expand and contract at will, by one or more clicks of the computer screen pointing engine(mouse pointer), which levels the are to be left visible. In another aspect, the system is disclosed for associating owners of computers being backed up, respective backup charges, and then rearranging data extracted from the RDB consisting of historical records of backup activity originating from the activity of a plurality of different backup engines, and constructing Billing Reports to reflect backup charges relating to said backup activities.

5 Claims, 9 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference			Claims	INBC	Draw. De
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Term	Documents
COLOR	1074157
COLOUR	364995
COLOURS	46184
COLORS	304909
(2 AND COLOR) .PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD.	2
(L2 AND COLOR) .PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD.	2

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☐ 1. Document ID: US 20050015662 A1

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L2: Entry 1 of 8

File: PGPB

Jan 20, 2005

PGPUB-DOCUMENT-NUMBER: 20050015662

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050015662 A1

TITLE: Method for visualizing data backup activity from a plurality of backup devices

PUBLICATION-DATE: January 20, 2005

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY
Scanlan, Liam	Bellevue	WA	US

US-CL-CURRENT: 714/13

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw Dg
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☐ 2. Document ID: US 20050005194 A1

L2: Entry 2 of 8

File: PGPB

Jan 6, 2005

PGPUB-DOCUMENT-NUMBER: 20050005194

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050005194 A1

TITLE: Extensible method for obtaining an historical record of data backup activity (and errors) and converting same into a canonical format

PUBLICATION-DATE: January 6, 2005

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY
Scanlan, Liam	Bellevue	WA	US
Bear, Cory	Bellevue	WA	US

US-CL-CURRENT: 714/13

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWAC	Draw D
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☐ 3. Document ID: US 20040054699 A1

L2: Entry 3 of 8

File: PGPB

Mar 18, 2004

PGPUB-DOCUMENT-NUMBER: 20040054699

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040054699 A1

TITLE: Extensible method for obtaining an historical record of data backup activity
(and errors) and converting same into a canonical format

PUBLICATION-DATE: March 18, 2004

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY
Bear, Cory	Bellevue	WA	US
Scanlan, Liam	Bellevue	WA	US

US-CL-CURRENT: 707/204

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWAC	Draw D
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☐ 4. Document ID: US 6745210 B1

L2: Entry 4 of 8

File: USPT

Jun 1, 2004

US-PAT-NO: 6745210

DOCUMENT-IDENTIFIER: US 6745210 B1

TITLE: Method for visualizing data backup activity from a plurality of backup
devices

DATE-ISSUED: June 1, 2004

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Scanlan, Liam	Bellevue	WA		
Bear, Cory	Bellevue	WA		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Bocada, Inc.	Bellevue	WA			02

APPL-NO: 09/665269 [PALM]

DATE FILED: September 19, 2000

INT-CL: [07] G06 F 17/30

US-CL-ISSUED: 707/204; 707/3, 707/10, 707/101, 707/102, 707/104, 707/202
US-CL-CURRENT: 707/204; 707/10, 707/101, 707/102, 707/104.1, 707/202, 707/3
FIELD-OF-SEARCH: 707/10, 707/3, 707/202, 707/203, 707/204, 707/205, 707/101,
707/102, 707/104, 709/217, 709/222, 709/228, 705/20, 705/34, 705/40, 705/44

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>5517555</u>	May 1996	Amadon et al.	379/114.01
<u>5729735</u>	March 1998	Meyering	395/610
<u>5754782</u>	May 1998	Masada	395/200.43
<u>5758359</u>	May 1998	Saxon	707/204
<u>5796999</u>	August 1998	Azagury et al.	395/600
<u>5813017</u>	September 1998	Morris	707/204
<u>5857208</u>	January 1999	Ofek	707/204
<u>5890165</u>	March 1999	Boudrie et al.	707/202
<u>5958012</u>	September 1999	Battat et al.	345/969
<u>6006227</u>	December 1999	Freeman et al.	707/102
<u>6026414</u>	February 2000	Anglin	707/204
<u>6035412</u>	March 2000	Tamer et al.	714/6
<u>6038569</u>	March 2000	Beavin et al.	707/203
<u>6289380</u>	September 2001	Battat et al.	345/969
<u>2002/0165961</u>	November 2002	Everdell et al.	709/225

OTHER PUBLICATIONS

International Search Report for International Patent Application No. PCT/US01/29435
filed Sep. 19, 2001.
International Search Report for International Patent Application No. PCT/US01/29434
filed Sep. 19, 2001.
International Search Report for International Patent Application No. PCT/US01/29521
filed Sep. 19, 2001.

ART-UNIT: 2172

PRIMARY-EXAMINER: Corrielus; Jean M.

ATTY-AGENT-FIRM: Gray Cary Ware & Freidenrich LLP

ABSTRACT:

A method and system for examining historical records of backup activity consolidated from a plurality of backup engines, utilizing a data processing system, is disclosed. In the first aspect, a method comprises the steps of reorganizing historical records of backup activity originating from a plurality of backup engines into unique visual representations to facilitate the speedy and reliable identification of backup activity failures. The method also includes sending prepared requests from BRG to RDB, and then receiving data from RDB. In another aspect, it is disclosed a method of representing a uniquely high number of

levels of data extraction relating to backup successes and failures. In another aspect, it is disclosed a method of representing variations other than simply Failure or Success of backup activities, namely Partial failures. In another aspect, it is disclosed a method of combining summary level data (summarized by backup server) with subsequently lower levels of detail (summarized by backup client, and summarized by backup target) in a single report that provides the ability to expand and contract at will, by one or more clicks of the computer screen pointing engine(mouse pointer), which levels the are to be left visible. In another aspect, the system is disclosed for associating owners of computers being backed up, respective backup charges, and then rearranging data extracted from the RDB consisting of historical records of backup activity originating from the activity of a plurality of different backup engines, and constructing Billing Reports to reflect backup charges relating to said backup activities.

5 Claims, 9 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference				Claims	Keywords	Drawings
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☐ 5. Document ID: US 6708188 B1

L2: Entry 5 of 8

File: USPT

Mar 16, 2004

US-PAT-NO: 6708188

DOCUMENT-IDENTIFIER: US 6708188 B1

TITLE: Extensible method for obtaining an historical record of data backup activity (and errors) and converting same into a canonical format.

DATE-ISSUED: March 16, 2004

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bear; Cory	Bellevue	WA		
Scanlan; Liam	Bellevue	WA		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Bocada, Inc.	Bellevue	WA			02

APPL-NO: 09/665270 [PALM]

DATE FILED: September 19, 2000

INT-CL: [07] G06 F 17/30

US-CL-ISSUED: 707/202; 707/204

US-CL-CURRENT: 707/202; 707/204

FIELD-OF-SEARCH: 707/100, 707/202, 707/204, 711/162, 714/5

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>5517555</u>	May 1996	Amadon et al.	379/59
<u>5729735</u>	March 1998	Meyering	395/610
<u>5754782</u>	May 1998	Masada	395/200.43
<u>5758359</u>	May 1998	Saxon	707/204
<u>5796999</u>	August 1998	Azagury et al.	395/600
<u>5813017</u>	September 1998	Morris	707/204
<u>5857208</u>	January 1999	Ofek	707/204
<u>5875478</u>	February 1999	Blumenau	711/162
<u>5890165</u>	March 1999	Boudrie et al.	707/202
<u>5958012</u>	September 1999	Battat et al.	709/224
<u>5974563</u>	October 1999	Beeler, Jr.	714/5
<u>6006227</u>	December 1999	Freeman et al.	707/7
<u>6026414</u>	February 2000	Anglin	707/204
<u>6035412</u>	March 2000	Tamer et al.	714/6
<u>6038379</u>	March 2000	Fletcher et al.	709/230
<u>6038569</u>	March 2000	Beavin et al.	707/203
<u>6289380</u>	September 2001	Battat et al.	709/224
<u>6460055</u>	October 2002	Midgley et al.	707/204

OTHER PUBLICATIONS

International Search Report for International Patent Application No. PCT/US01/29435
filed Sep. 19, 2001.

International Search Report for International Patent Application No. PCT/US01/29434
filed Sep. 19, 2001.

International Search Report for International Patent Application No. PCT/US01/29521
filed Sep. 19, 2001.

ART-UNIT: 2175

PRIMARY-EXAMINER: Mizrahi; Diane D.

ATTY-AGENT-FIRM: Gray Cary Ware & Freidenrich LLP

ABSTRACT:

An extensible method and system for obtaining an historical record of data backup activity (and errors) from a plurality of data backup software devices, and converting the same into a canonical format, is disclosed. In the first aspect, a method comprises the steps of providing a late-binding mechanism that provides the invention with extensibility so that it can be made to inter-operate with an arbitrary variety of backup software devices. In the second aspect, an interface for requesting and returning a canonical backup activity log is disclosed. In the third aspect, an interface for requesting and returning a canonical backup error log is disclosed. In the fourth aspect, the use of a canonical format enables the data to be cross-referenced, consolidated and compared.

55 Claims, 2 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference			Claims	KMAC	Draw D.
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☐ 6. Document ID: US 6640217 B1

L2: Entry 6 of 8

File: USPT

Oct 28, 2003

US-PAT-NO: 6640217

DOCUMENT-IDENTIFIER: US 6640217 B1

TITLE: Method for extracting and storing records of data backup activity from a plurality of backup devices

DATE-ISSUED: October 28, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Scanlan; Liam	Bellevue	WA		
Bear; Cory	Bellevue	WA		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Bocada, Inc,	Bellevue	WA			02

APPL-NO: 09/665267 [PALM]

DATE FILED: September 19, 2000

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is related to U.S. application Ser. No. 09/665,270 and U.S. application Ser. No. 09/665,269, both of which are filed on the same date as this application (Sep. 19, 2000) and currently pending, and both of which name the same inventors as this application.

INT-CL: [07] G06 F 17/30

US-CL-ISSUED: 707/1; 707/3, 707/10, 707/200, 707/201, 707/202, 707/204

US-CL-CURRENT: 707/1; 707/10, 707/200, 707/201, 707/202, 707/204, 707/3

FIELD-OF-SEARCH: 707/200, 707/201, 707/204, 707/702, 707/3, 707/4, 707/10, 709/217, 709/226, 714/26

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>4751702</u>	June 1988	Beier et al.	714/13
<u>5495607</u>	February 1996	Pisello et al.	707/10
<u>5517555</u>	May 1996	Amadon et al.	379/59
<u>5729735</u>	March 1998	Meyering	395/610
<u>5754782</u>	May 1998	Masada	395/200.43
<u>5758359</u>	May 1998	Saxon	707/204

<u>5796999</u>	August 1998	Azagury et al.	395/600
<u>5813017</u>	September 1998	Morris	707/204
<u>5857208</u>	January 1999	Ofek	707/204
<u>5875478</u>	February 1999	Blumenau	711/162
<u>5890165</u>	March 1999	Boudrie et al.	707/202
<u>5958012</u>	September 1999	Battat et al.	709/224
<u>6006227</u>	December 1999	Freeman et al.	707/7
<u>6026414</u>	February 2000	Anglin	707/204
<u>6035412</u>	March 2000	Tamer et al.	714/6
<u>6038569</u>	March 2000	Beavin et al.	707/203
<u>6289380</u>	September 2001	Battat et al.	709/224
<u>6324548</u>	November 2001	Sorenson	707/204
<u>6460055</u>	October 2002	Midgley et al.	707/204

OTHER PUBLICATIONS

International Search Report for International Patent Application No. PCT/US01/29435
filed Sep. 19, 2001.

International Search Report for International Patent Application No. PCT/US01/29434
filed Sep. 19, 2001.

International Search Report for International Patent Application No. PCT/US01/29521
filed Sep. 19, 2001.

ART-UNIT: 2172

PRIMARY-EXAMINER: Corrielus; Jean M.

ATTY-AGENT-FIRM: Gray Cary Ware & Freidenrich LLP

ABSTRACT:

A method and system for requesting, cross-referencing, extracting and storing historical records of data backup activity by using a software component that interfaces to a plurality of data backup software devices is disclosed. In the first aspect, a method of storing automated request-for-historical records of data backup activity schedules is disclosed. In the second aspect, a method for invoking requests through a component that interfaces to a plurality of data backup software devices is disclosed. In the third aspect, a method for receiving historical records of data backup activity from said component is disclosed. In a fourth aspect, a method for making alterations to said historical records of data backup activity is disclosed. In a fifth aspect, a method for inserting said historical records of data backup activity into a data table located in an open, relational database is disclosed. In a sixth aspect, a method for inserting subsets of said historical records of data backup activity into tables related to said central data table is disclosed. In a seventh aspect, a method for removing completed historical records of data backup activity refresh requests is disclosed. Through the use of a system and method in accordance with the present invention, the aforementioned database can be made self-refreshing, requiring minimal ongoing intervention subsequent to initial configuration. In addition, the aforementioned data refreshes can be manually invoked at any time.

53 Claims, 7 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWIC	Draw D.
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☐ 7. Document ID: US 20050015662 A1, WO 200225498 A1, AU 200192862 A, US 6745210 B1

L2: Entry 7 of 8

File: DWPI

Jan 20, 2005

DERWENT-ACC-NO: 2002-575176

DERWENT-WEEK: 200507

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TITLE: Representing backup activity successes and failures in multi-leveled viewing container for visualizing data backup activity of backup devices, provides drill down visual representation of backup activities and failures of backup data

INVENTOR: SCANLAN, L; BEAR, C

PRIORITY-DATA: 2000US-0665270 (September 19, 2000), 2000US-0665267 (September 19, 2000), 2000US-0665269 (September 19, 2000), 2004US-0790955 (March 1, 2004)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
<u>US 20050015662 A1</u>	January 20, 2005		000	H02H003/05
<u>WO 200225498 A1</u>	March 28, 2002	E	042	G06F017/30
<u>AU 200192862 A</u>	April 2, 2002		000	G06F017/30
<u>US 6745210 B1</u>	June 1, 2004		000	G06F017/30

INT-CL (IPC): G06 F 17/30; H02 H 3/05

ABSTRACTED-PUB-NO: WO 200225498A

BASIC-ABSTRACT:

NOVELTY - A record of backup data activity is obtained and errors converted into canonical format. Blocks of data is extracted from relational database containing data originating from a number of backup engines. A drill down visual representation of backup activity successes, failures, and partial failures of data backup is made available. Backup activity billing reports constructed from data originating on a number of backup engines.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for A data structure for visually representing backup activity successes and failures for a number of data backup products.

USE - For visualizing data backup activity from a number of back devices in a network.

ADVANTAGE - Key backup performance metrics are made obvious and having clear indication of the cost of backing up data.

DESCRIPTION OF DRAWING(S) - The flowchart illustrates how the Backup Failure Analysis Report is created.

Full	Title	Citation	Front	Review	Classification	Date	Reference	Claims	KWIC	Draw D.
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☐ 8. Document ID: EP 1330722 A1, WO 200225462 A1, AU 200191169 A

L2: Entry 8 of 8

File: DWPI

Jul 30, 2003

DERWENT-ACC-NO: 2002-435095

DERWENT-WEEK: 200350

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TITLE: Canonical representation method of data backup activity records, involves searching for backup engine plug-in that supports backup engine name specified as parameter in request backup log module

INVENTOR: BEAR, C; SCANLAN, L

PRIORITY-DATA: 2000US-0665270 (September 19, 2000), 2000US-0665267 (September 19, 2000), 2000US-0665269 (September 19, 2000)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
<u>EP 1330722 A1</u>	July 30, 2003	E	000	G06F015/16
<u>WO 200225462 A1</u>	March 28, 2002	E	039	G06F015/16
<u>AU 200191169 A</u>	April 2, 2002		000	G06F015/16

INT-CL (IPC): G06 F 15/16; G06 F 17/30; H02 H 3/05

ABSTRACTED-PUB-NO: WO 200225462A

BASIC-ABSTRACT:

NOVELTY - The component object model (COM) interfaces are used while searching for backup engine plug-in (BEP) that supports the backup engine name specified as a parameter to request backup log module. Once the BEP is found, the download backup log procedure is called to acquire the canonical backup activity log (CBAL).

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (a) Canonical data backup activity records obtaining device;
- (b) Canonical data backup activity records obtaining system;
- (c) Backup log activity data structure

USE - For canonical representation of data backup activity records in large organizations.

ADVANTAGE - The canonical format enables the data to be cross-referenced, consolidated and compared using simple technique.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart explaining request backup detail method.

Full	Title	Citation	Front	Review	Classification	Data	Reference				Claims	KWAC	Draw D
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Term	Documents
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CANONICALS	24
FORMAT	440708
FORMATS	146316
(1 AND (CANONICAL NEAR FORMAT)) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD.	8
(L1 AND (CANONICAL NEAR FORMAT)) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD.	8

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